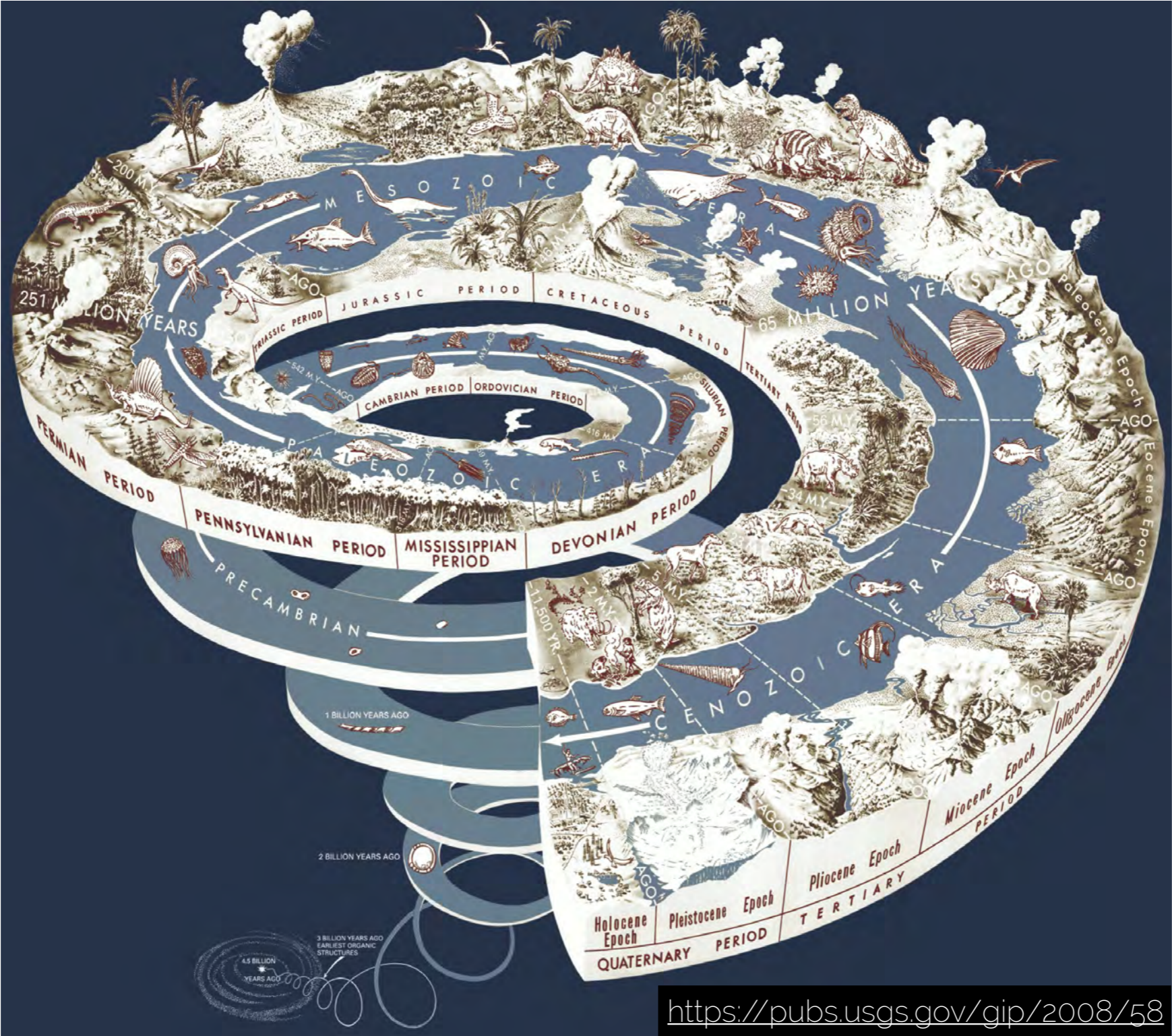




# Speciation & Extinction in the Fossil Record



# Extinction and speciation are apparent in the fossil record



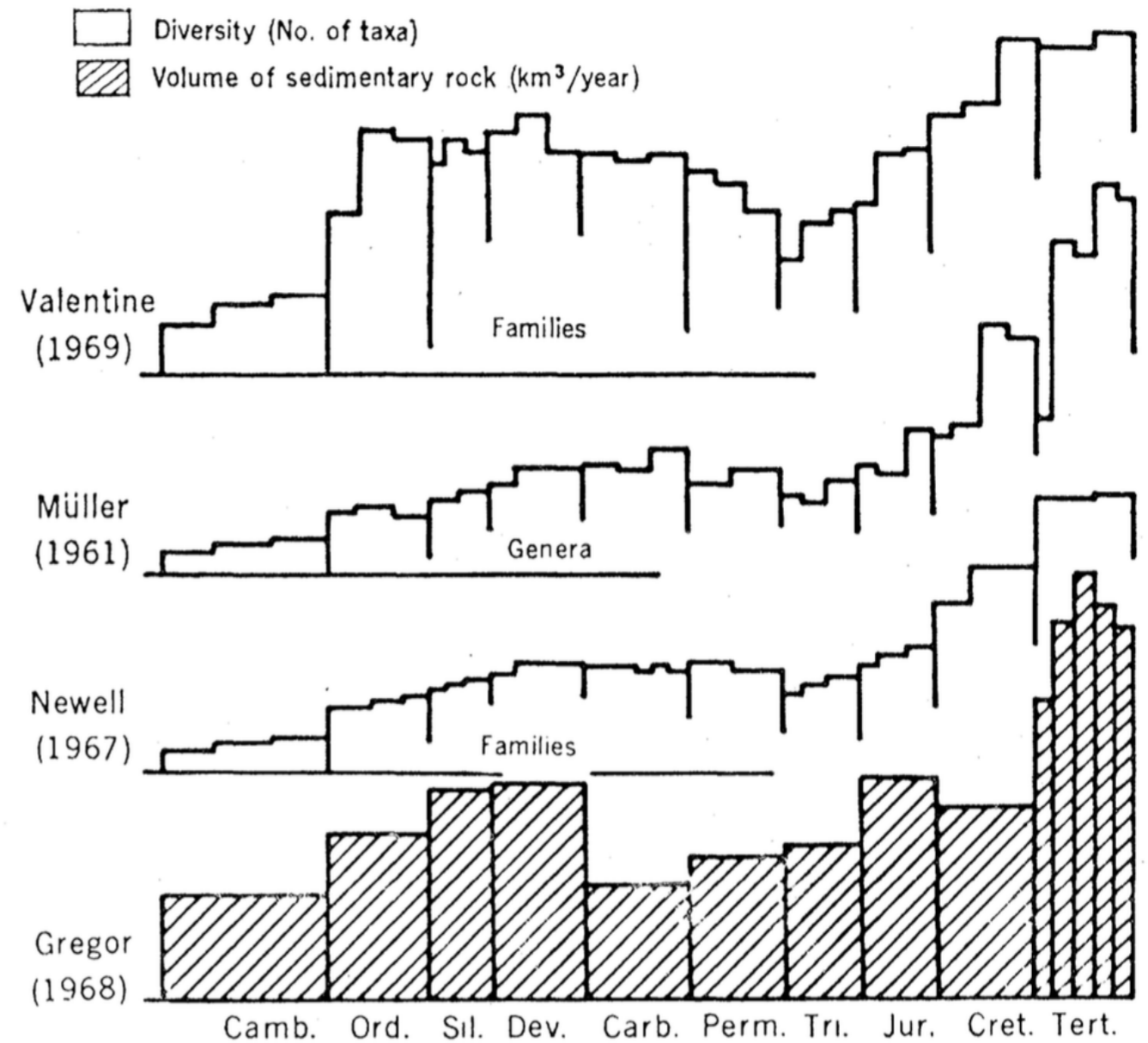
<https://pubs.usgs.gov/gip/2008/58>



# Patterns of diversity in the fossil record

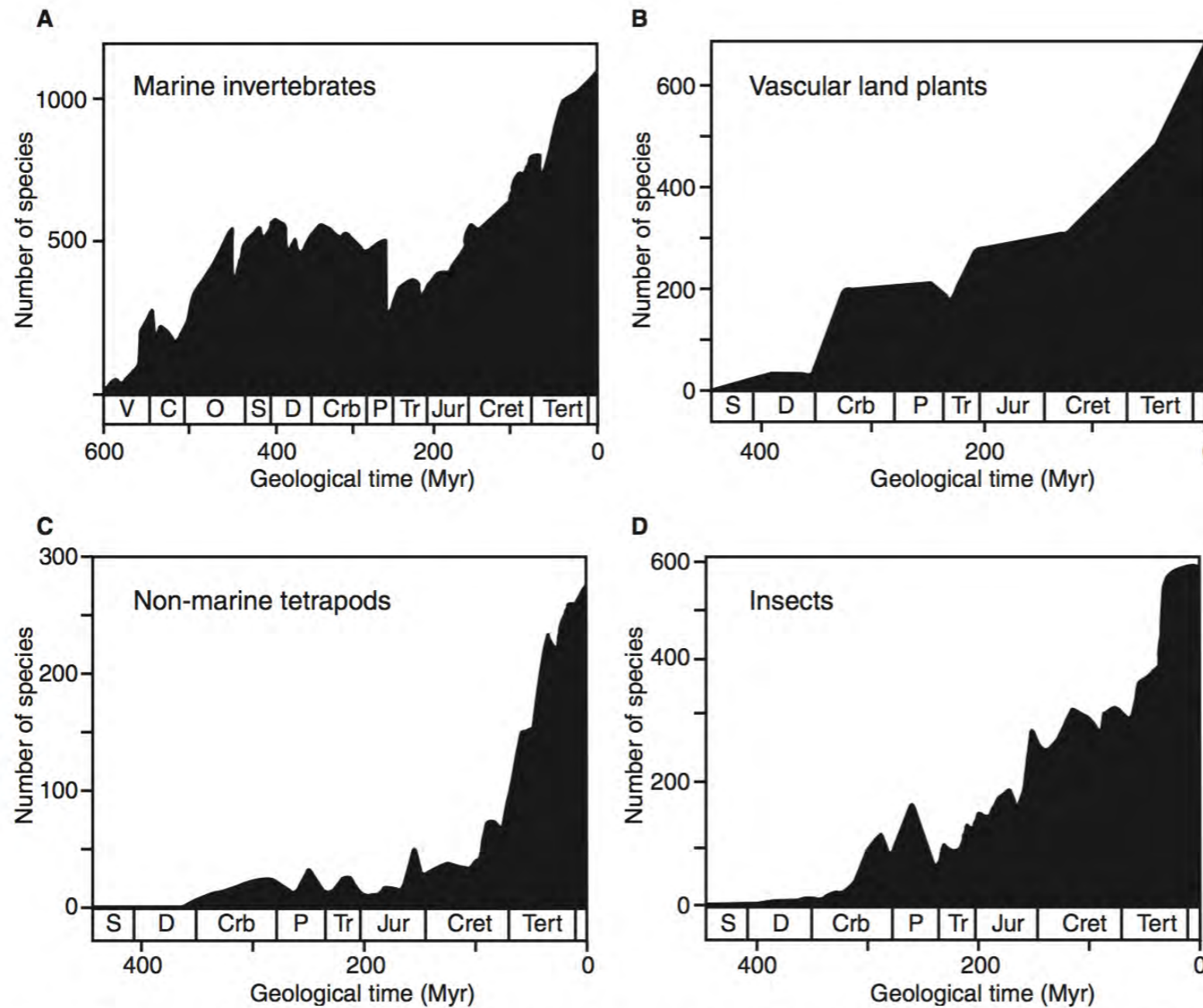
*“The overall pattern is one of (i) a rapid rise in the number of taxa during the Cambrian and Early Ordovician, (ii) a maximum at about the Devonian, (iii) a slight but persistent decline to a minimum in the Early Triassic, and (iv) a rapid increase to an all-time high in diversity at the end of the Tertiary.”*

Comparing the number of taxa and rock volume in the Phanerozoic (541-0 million years ago)



# Patterns of diversity in the fossil record

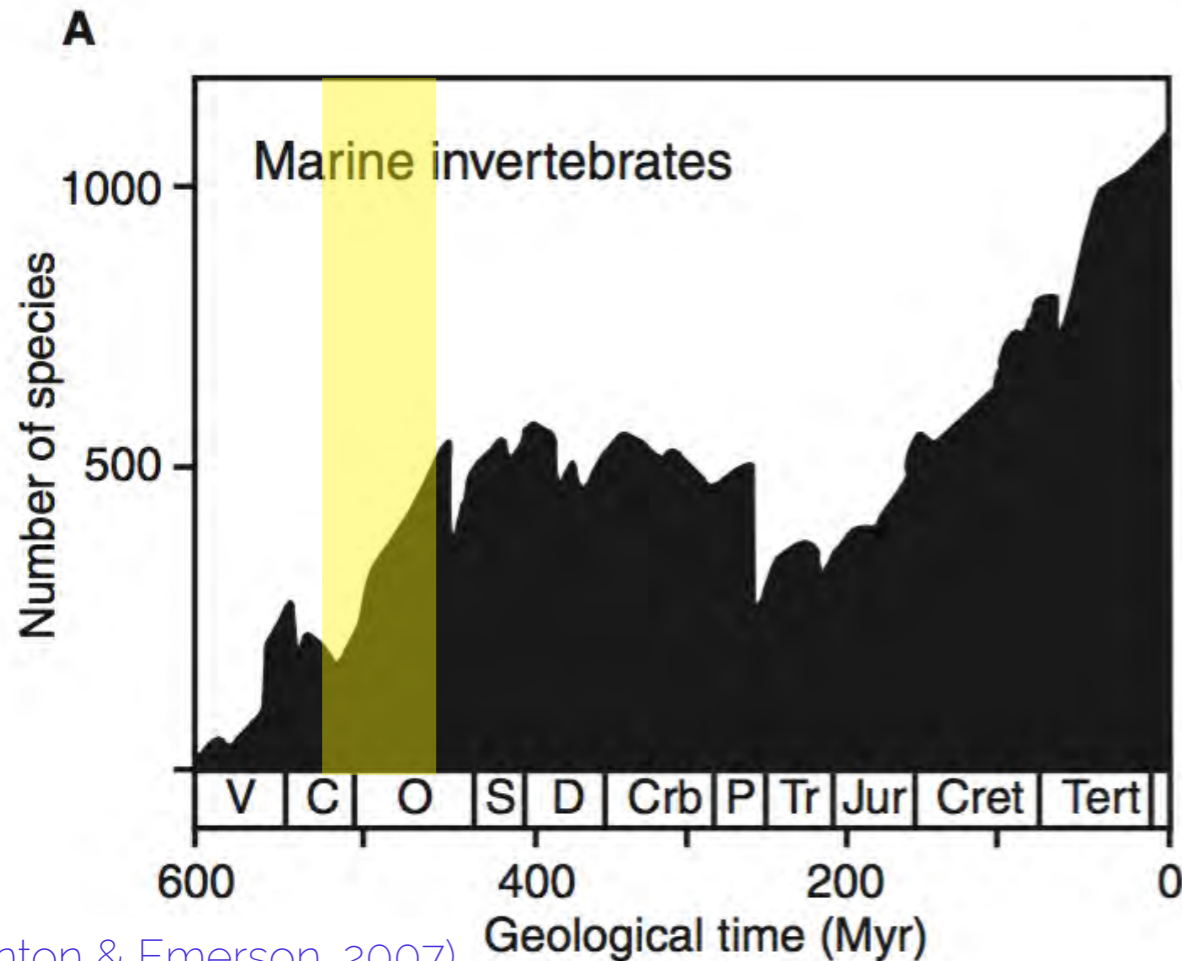
How did life become so diverse?



**TEXT-FIG. 1.** Patterns of diversification of: A, families of marine invertebrates; B, species of vascular land plants; C, families of non-marine tetrapods; and D, families of insects (based on Sepkoski 1984; Benton 1985; Niklas *et al.* 1985; Labandeira and Sepkoski 1993).

# Evolutionary radiations

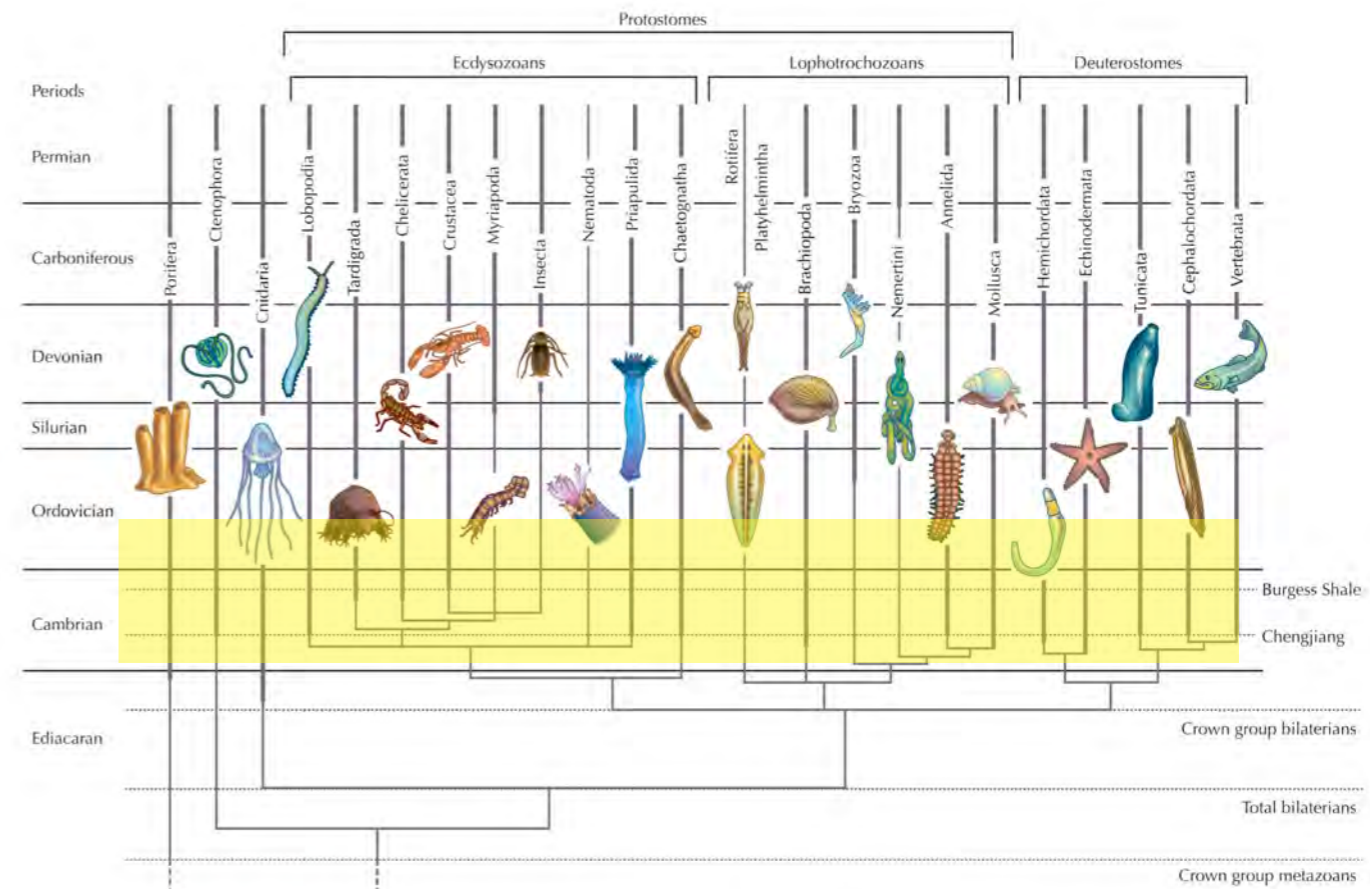
## The Cambrian Explosion



(Benton & Emerson, 2007)

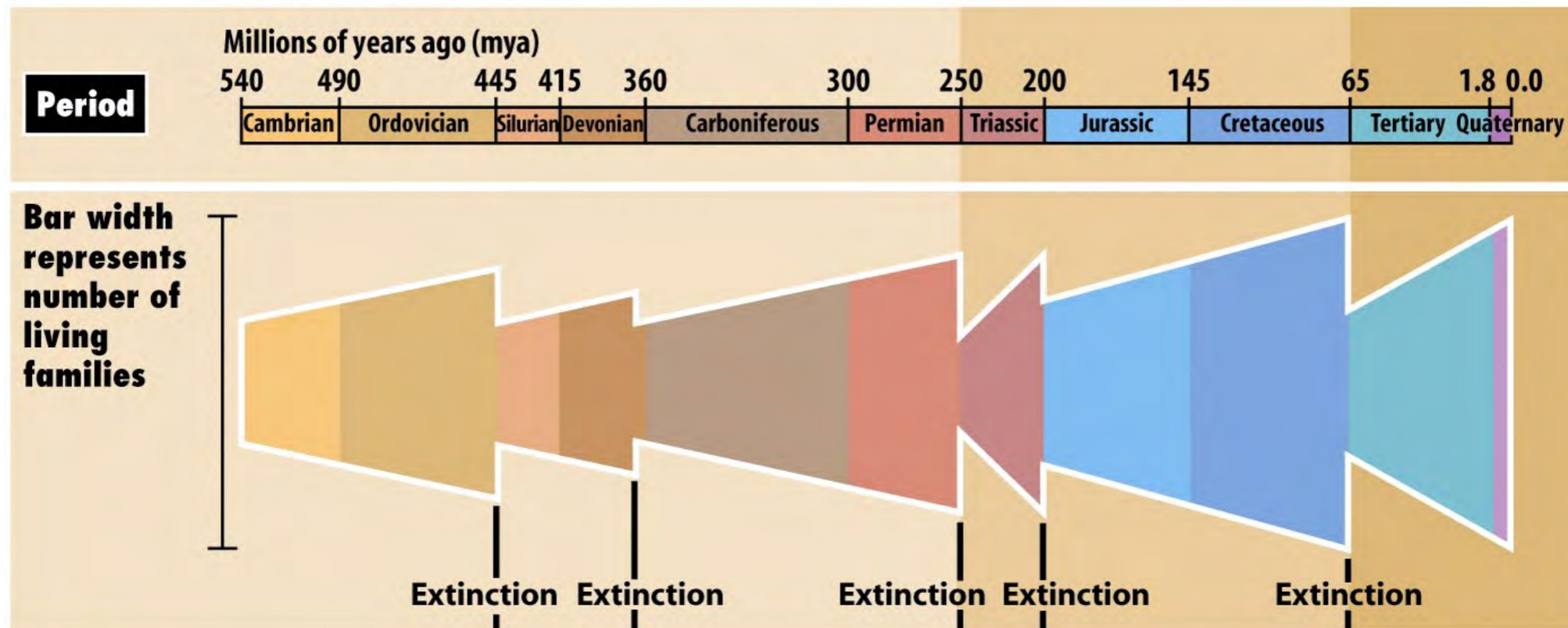
Most major animal phyla appeared during this time

“...a rapid rise in the number of taxa during the Cambrian and Early Ordovician” (Raup 1972)





# Mass extinctions

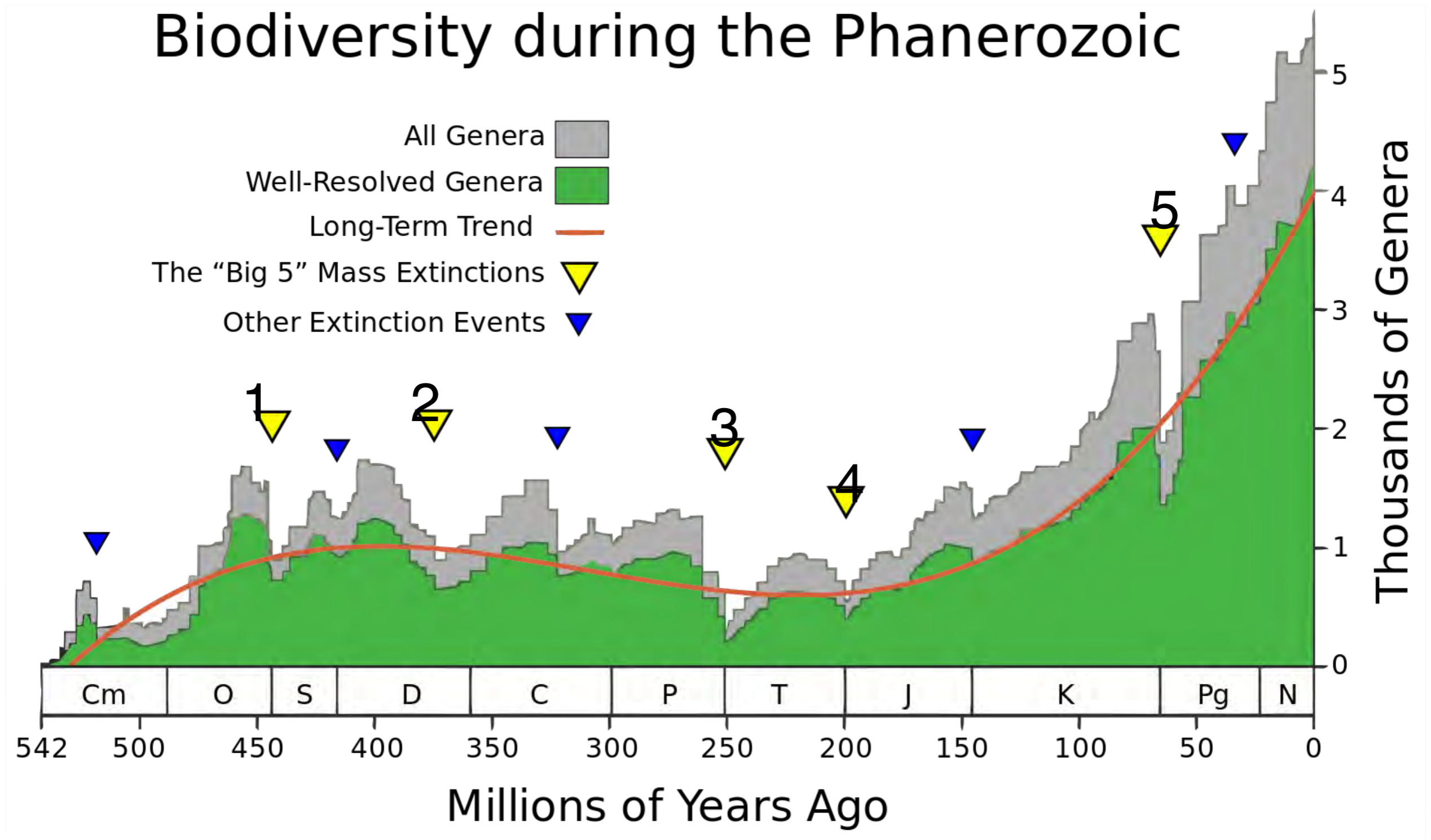


Periods during the Earth's history when a very large proportion of the living species go extinct.

During these time intervals, the rate of extinction is much higher than the normal (or background) rate.

There are 5 major extinctions observed in the fossil record when most of the diversity on Earth went extinct.

# Mass extinctions



[https://en.wikipedia.org/wiki/Phanerozoic#/media/File:Phanerozoic\\_Biodiversity.svg](https://en.wikipedia.org/wiki/Phanerozoic#/media/File:Phanerozoic_Biodiversity.svg)



# Mass extinction events show elevated extinction rates

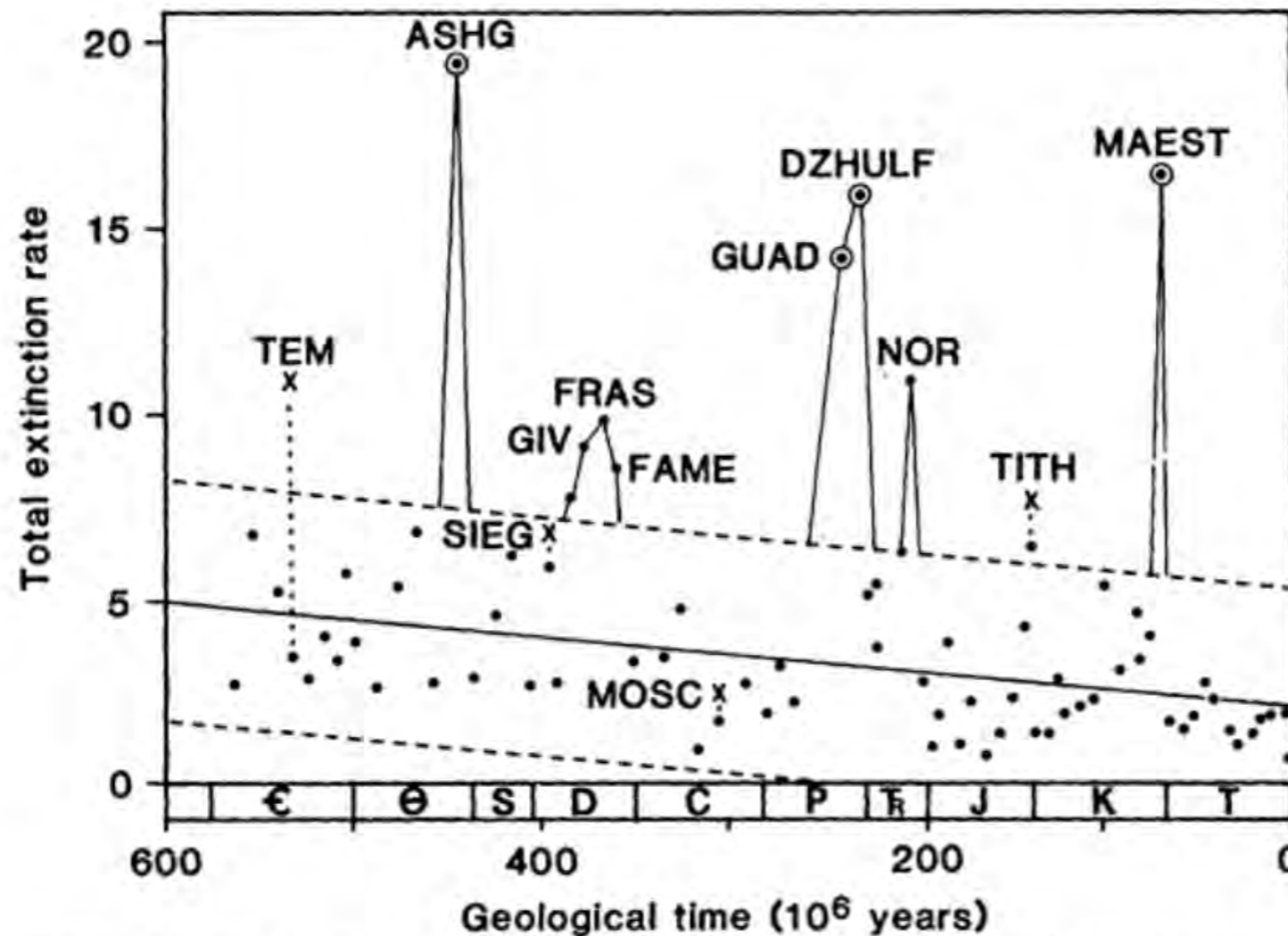
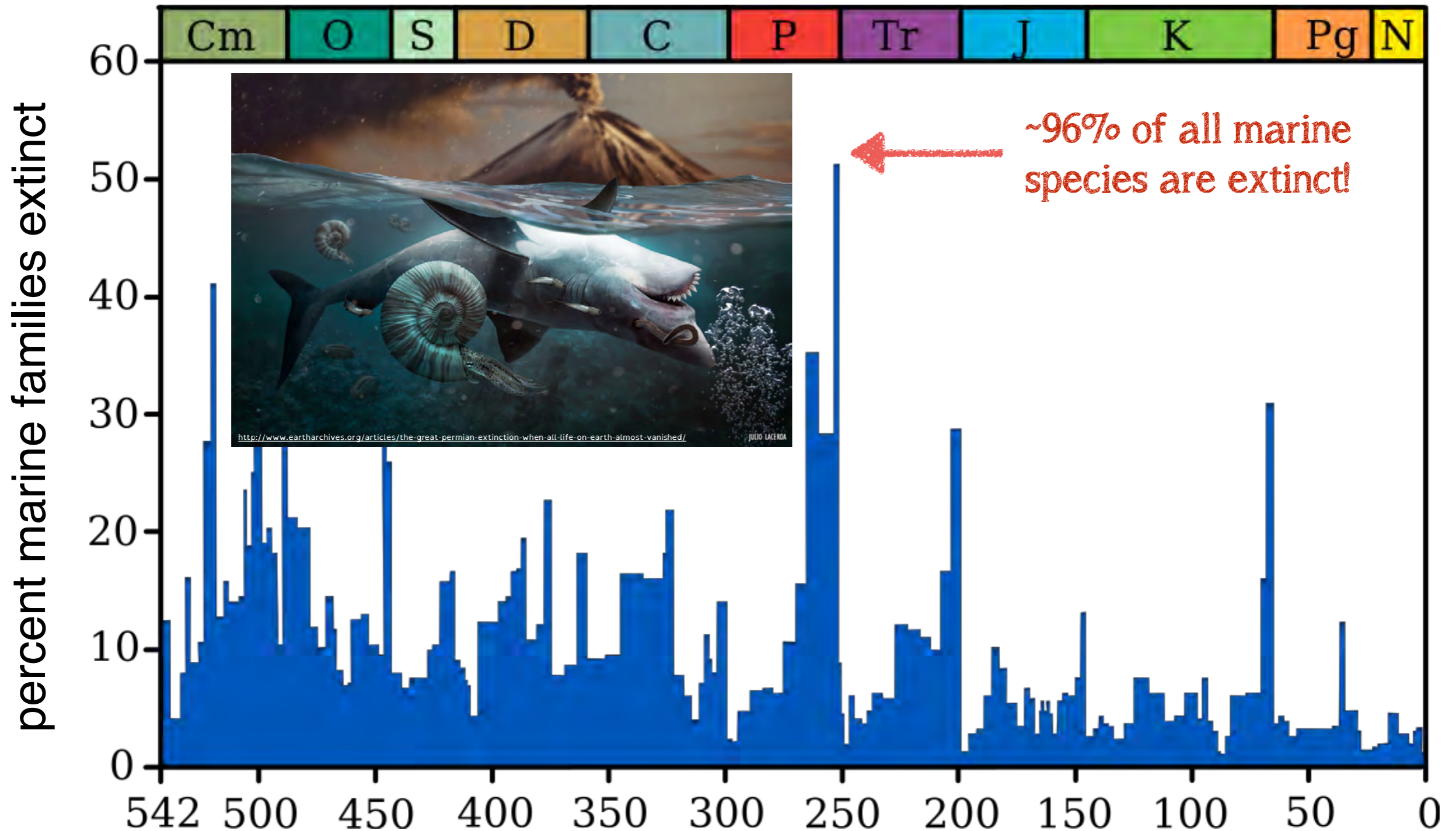


Fig. 1. Total extinction rate (extinctions per million years) through time for families of marine invertebrates and vertebrates. The plot shows statistically significant mass extinctions late in the Ordovician (ASHG), Permian (GUAD-DZHULF), Triassic (NOR), and Cretaceous (MAEST). An extinction event in the late Devonian (GIV-FRAS-FAME) is noticeable but not statistically significant. Circled points are those where the departure from the main cluster is highly significant ( $P < .01$ ); X's indicate those cases where inclu-

sion of rarely preserved animal groups substantially increases the calculated extinction rate (the point directly below the X is the rate calculated without the rarely preserved groups). The figure also shows a general decline in background extinction rate through time. The regression line is fit to the 67 points having extinction rates less than eight families per  $10^6$  years, and the dashed lines define the 95 percent confidence band for the regression. Abbreviations: TEM, Templetonian; ASHG, Ashgillian; SIEG, Siegenian; GIV, Givetian; FRAS, Frasnian; FAME, Famennian; MOSC, Moscovian; GUAD, Guadalupian; DZHULF, Dzhulfian; NOR, Norian; TITH, Tithonian; MAEST, Maestrichtian.



# Permian-Triassic Mass Extinction



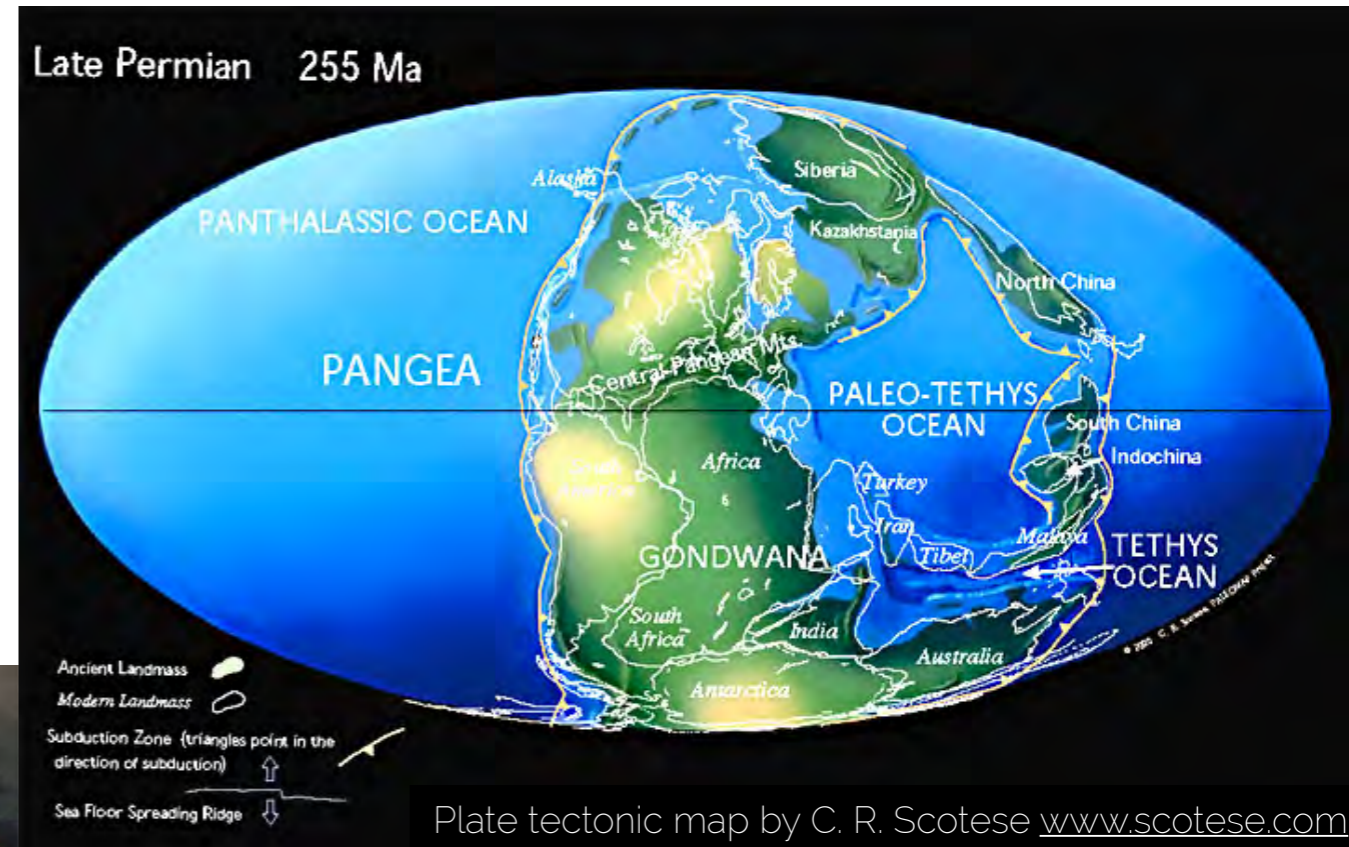
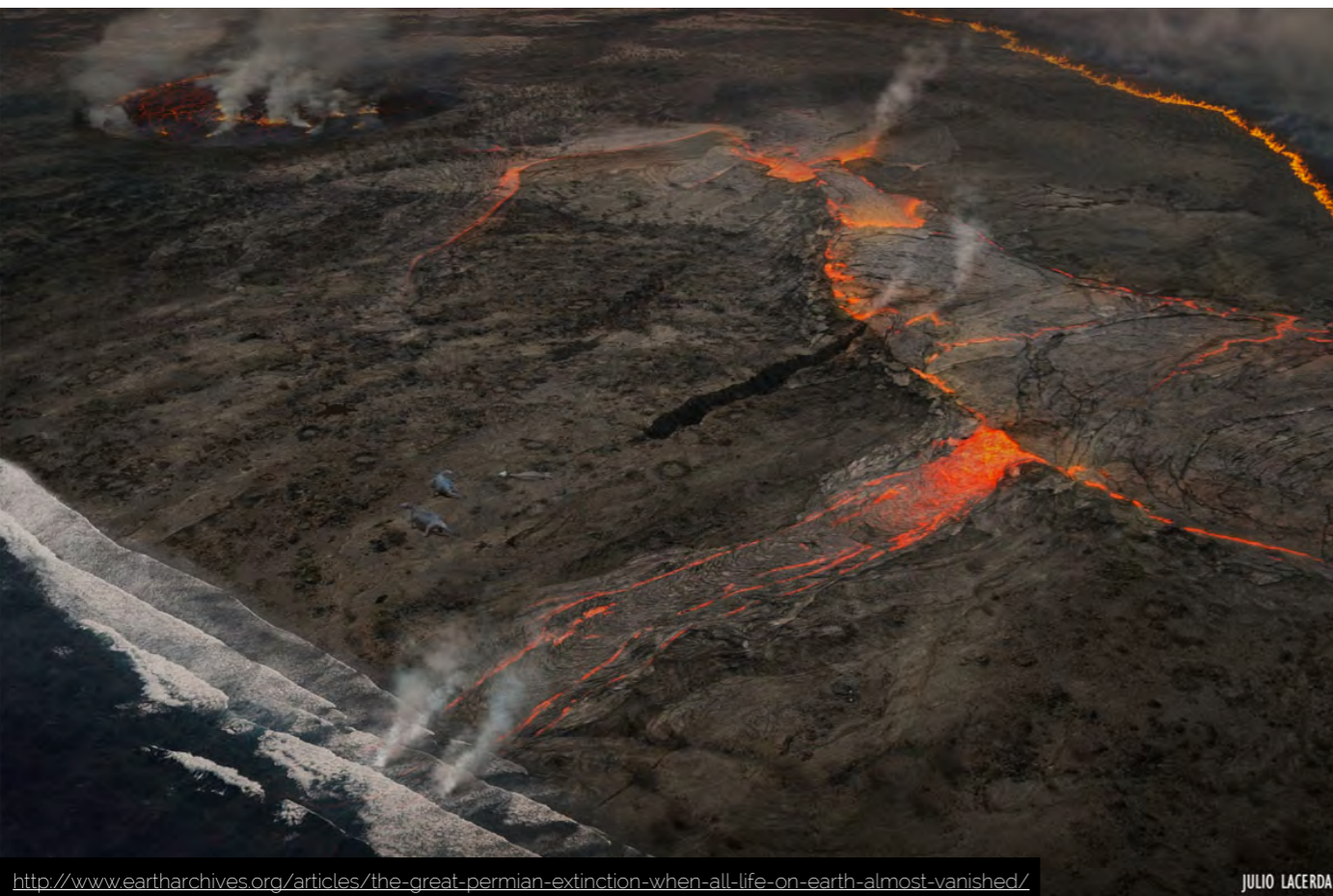
[https://en.wikipedia.org/wiki/File:Extinction\\_intensity.svg](https://en.wikipedia.org/wiki/File:Extinction_intensity.svg)



# Permian-Triassic Mass Extinction

## *The Great Dying*

Loss of 96% of marine species and 70% of terrestrial species



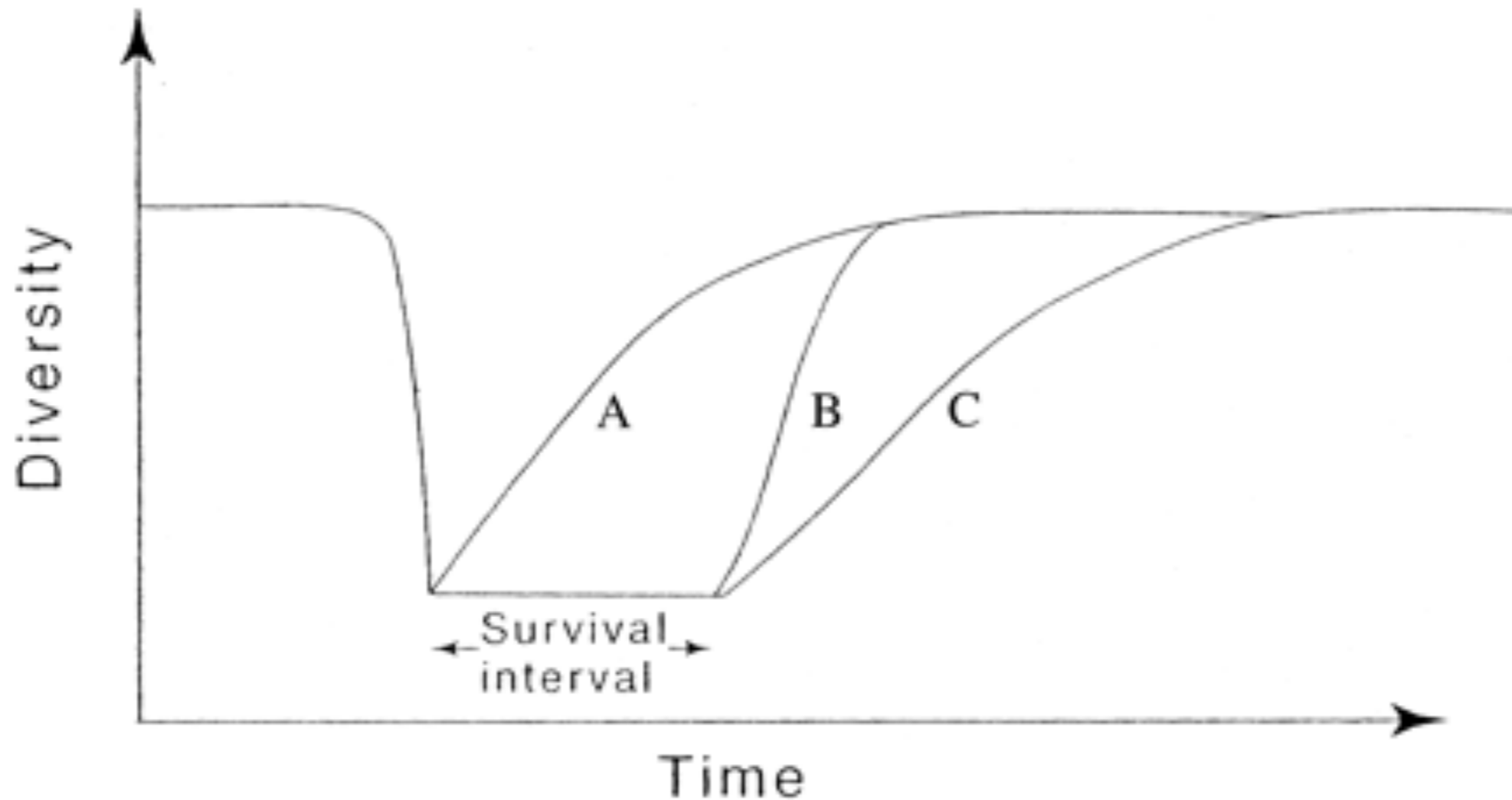
## **Causes include:**

large-scale volcanism, ocean acidification, acid rain, anoxia, formation of Pangea

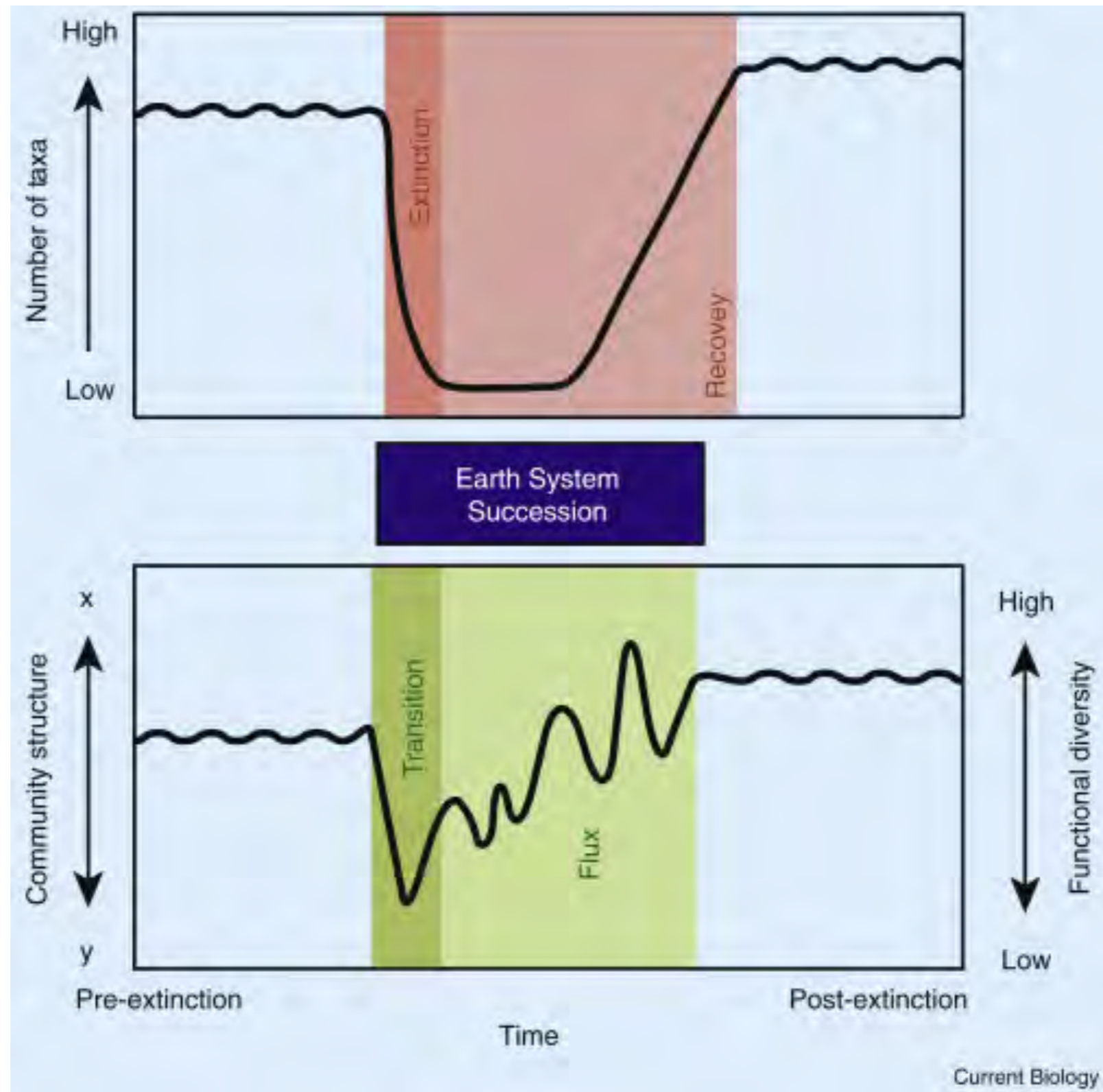


# Recovery after mass extinction

Often measured in return to similar levels of diversity, even though the community composition is different



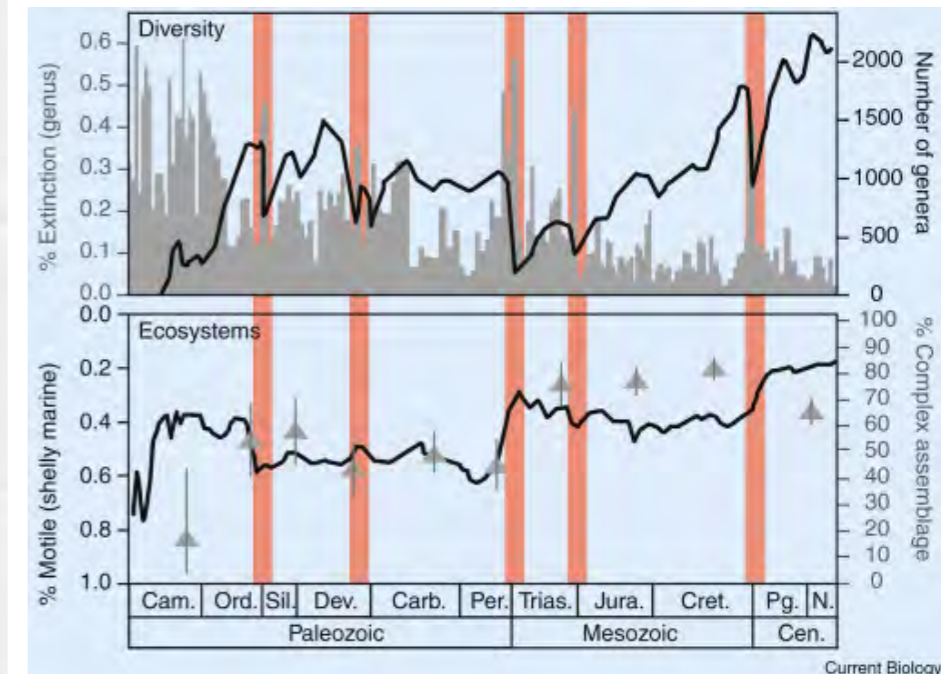
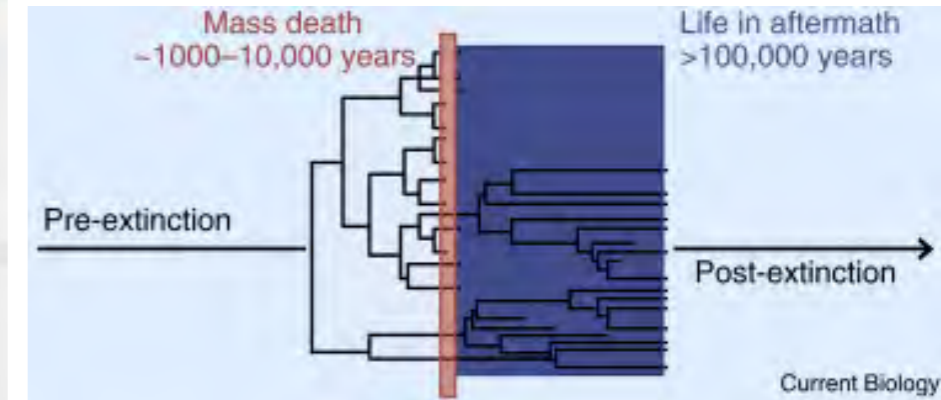
# Recovery after mass extinction





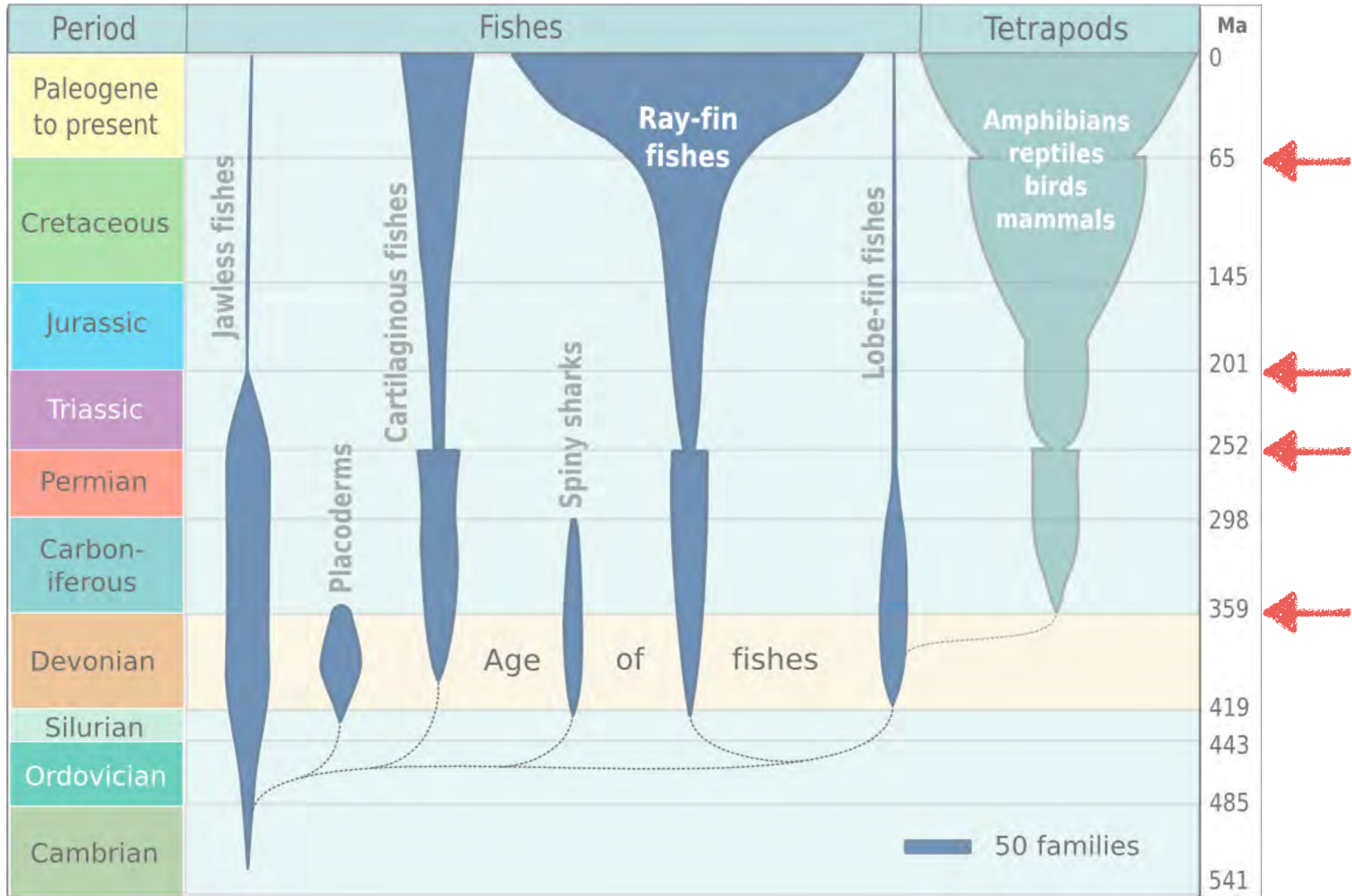
# Recovery after mass extinction

| Event                      | Trigger    | Losers   | Winners   |
|----------------------------|------------|--|---|
| Ordovician-Silurian (OS)   | Glaciation | Strophomenid & rhynchonellid brachiopods, nautiloids, trilobites, crinoids, conodonts, graptolites                 | Siliceous sponges, tabulate corals  |
| Late Devonian (F/F)        | Glaciation | Stromatoporoids, tabulate corals, trilobites, crinoids, eurypterids, brachiopods, ammonoids, agnathans, placoderms | Chondrichthyans, actinopterygians (ray-finned fishes)                             |
| Permian-Triassic (PT)      | Volcanism  | Brachiopods, crinoids, ammonoids trilobites, tabulate and rugose corals, basal tetrapods                           | Bivalves, gastropods, malacostracans, echinoids, scleractinian corals, archosaurs |
| Triassic-Jurassic (TJ)     | Volcanism  | Calcareous sponges, scleractinian corals, brachiopods, nautiloids, ammonites                                       | Siliceous sponges, dinosaurs  |
| Cretaceous-Paleogene (KPg) | Impact     | Non-avian dinosaurs, ammonites, calcareous plankton, mosasaurs, pterosaurs, rudist bivalves                        | Birds, mammals, spiny-rayed fishes  |





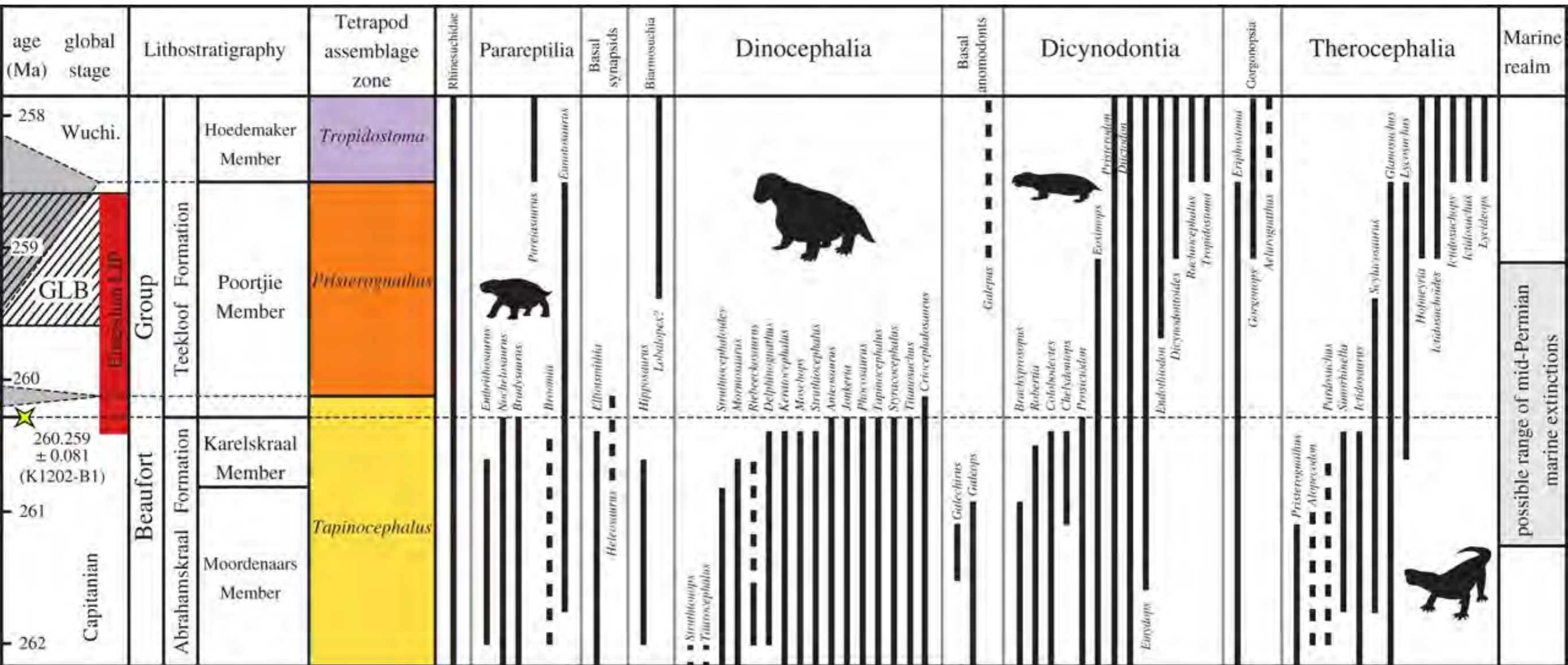
# Turnover





# Stratigraphic range data

Paleontological data are curated in databases that contain the first and last appearances of taxa.



possible range of mid-Permian marine extinctions



# Paleontological databases



Main Menu

About ▾

Resources ▾

Search ▾

Search the database



Login

## The Paleobiology Database

Revealing the history of life



Explore



Download Data

Join the PBDB

<https://paleobiodb.org>



# What data exist for fossil lineages?



Main Menu

About ▾

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Search the database

Login



A reconstruction of the fossil penguin *Icadyptes*

Basic info

Taxonomic history

Classification

Relationships

Morphology

Ecology and taphonomy

External Literature Search

Age range and collections

## Spheniscidae

Reptilia - Avetheropoda - Spheniscidae

### Age range

Maximum range based only on fossils: base of the [Ypresian](#) to the top of the [Holocene](#) or 56.00000 to 0.00000 Ma  
 Minimum age of oldest fossil (stem group age): 55.8 Ma

### Collections (114 total)

| Time interval                                     | Ma          | Country or state               | Original ID and collection number                              |
|---|-------------|--------------------------------|--|
| <a href="#">Teurian</a>                           | 66.0 - 55.8 | New Zealand<br>(South Island)  | <a href="#">Crossvallia waiparensis</a> (203390 203391 203392) |
| <a href="#">Late/Upper Paleocene</a>              | 58.7 - 55.8 | Antarctica                     | <a href="#">Crossvallia unienwillia</a> (74613)                |
| <a href="#">Ypresian</a>                          | 56.0 - 47.8 | Antarctica<br>(Seymour Island) | <a href="#">Palaeudyptes gunnari</a> (63549)                   |
| <a href="#">Ypresian</a>                          | 56.0 - 47.8 | Antarctica                     | Spheniscidae indet. (32360 52067 100414 120576)                |
| <a href="#">Ypresian - Lutetian</a>               | 56.0 - 41.3 | Antarctica<br>(Seymour Island) | <a href="#">Palaeudyptes gunnari</a> (63551)                   |
| <a href="#">Eocene</a>                            | 56.0 - 33.9 | Chile                          | Spheniscidae indet. (99572)                                    |
| <a href="#">Middle Eocene - Late/Upper Eocene</a> | 48.6 - 33.9 | Chile                          | Spheniscidae indet., <a href="#">Palaeudyptes</a> sp. (99479)  |
| <a href="#">Lutetian</a>                          | 47.8 - 41.3 | Antarctica<br>(Seymour Island) | Spheniscidae indet. (176083)                                   |

# What questions can we ask with these data?

What factors influenced diversity in the Cambrian?

Is there an association between global temperature and biodiversity?

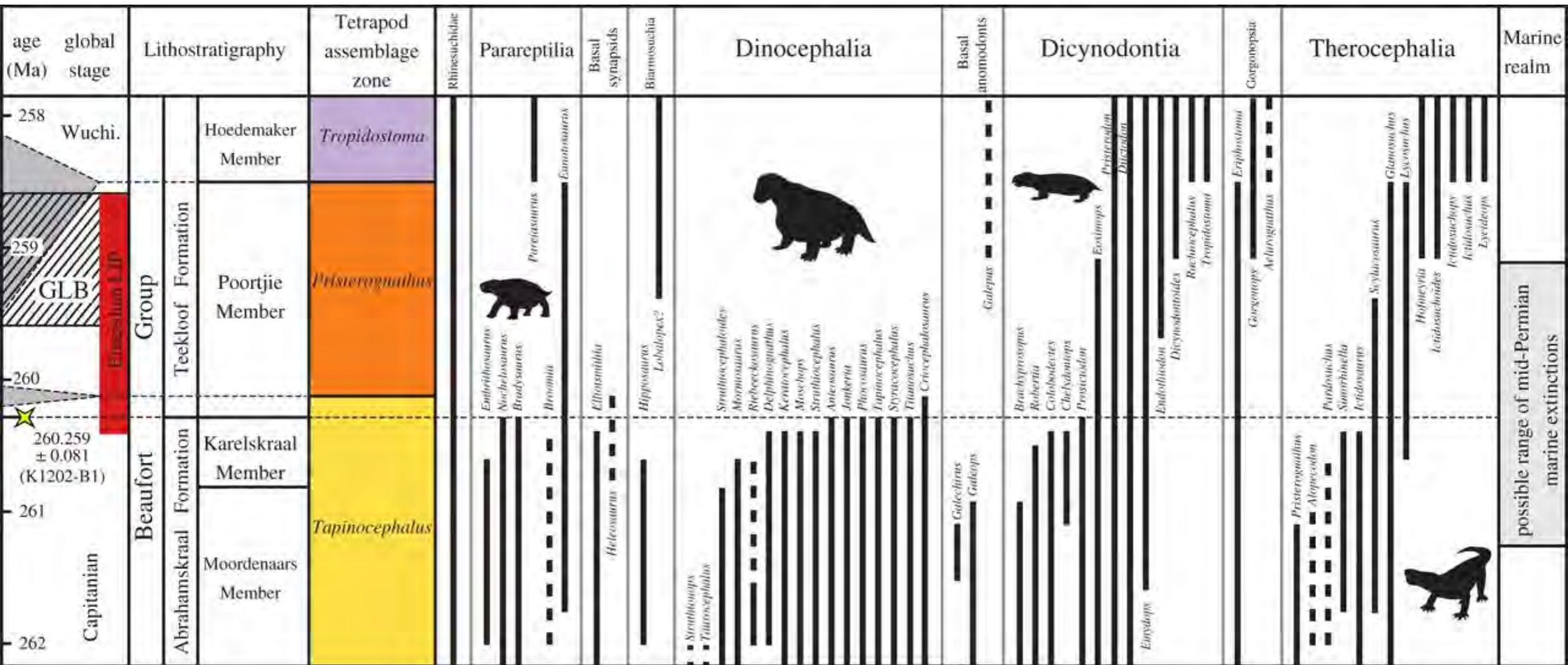
Were dinosaurs declining in diversification prior to the Chicxulub Impact?

Are human-activities correlated with an increase in rates of extinction in the Anthropocene?

...and much, much more...

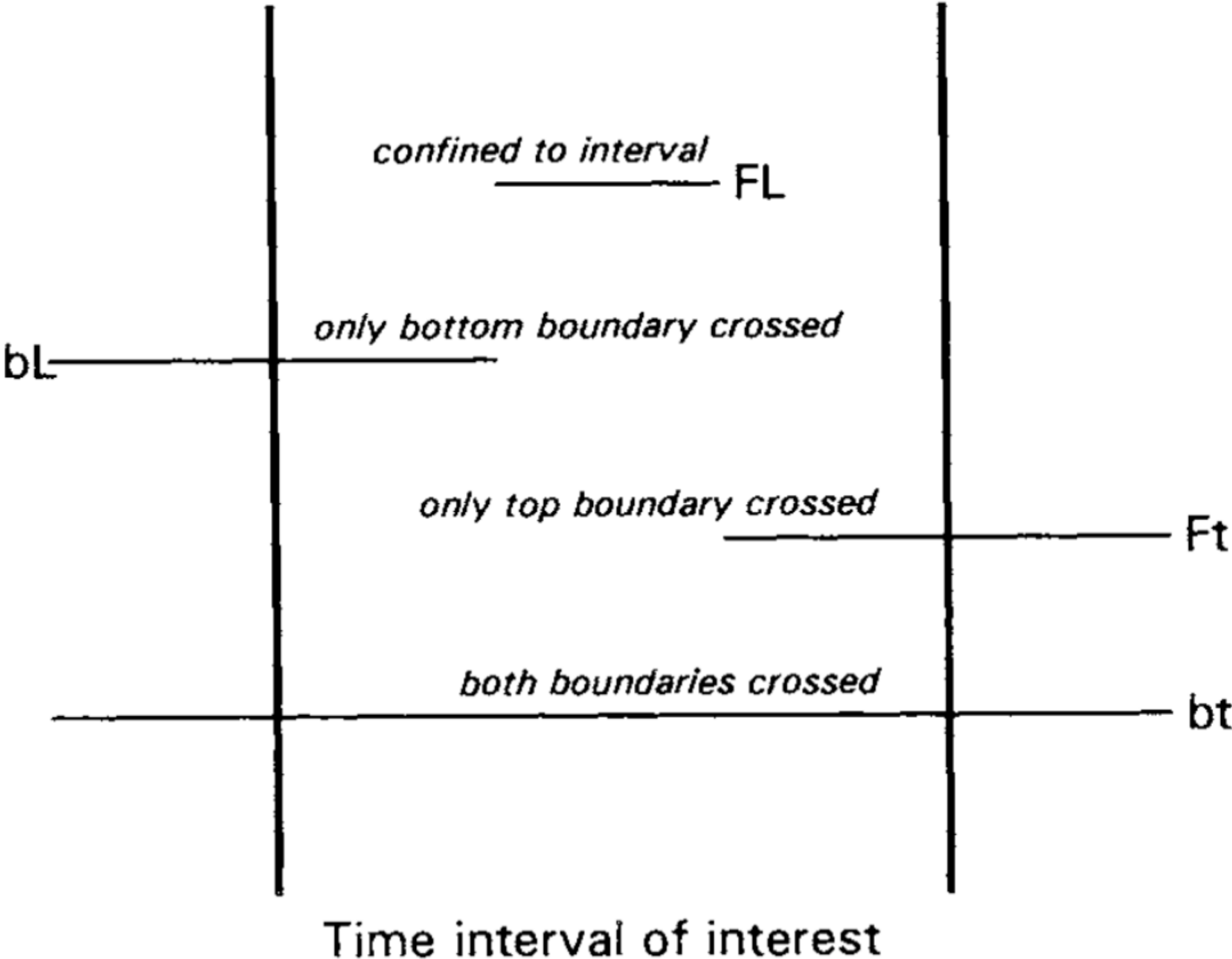


# Stratigraphic range data



# Measuring rates of speciation and extinction

Four fundamental classes of taxa





# Measuring rates of speciation and extinction

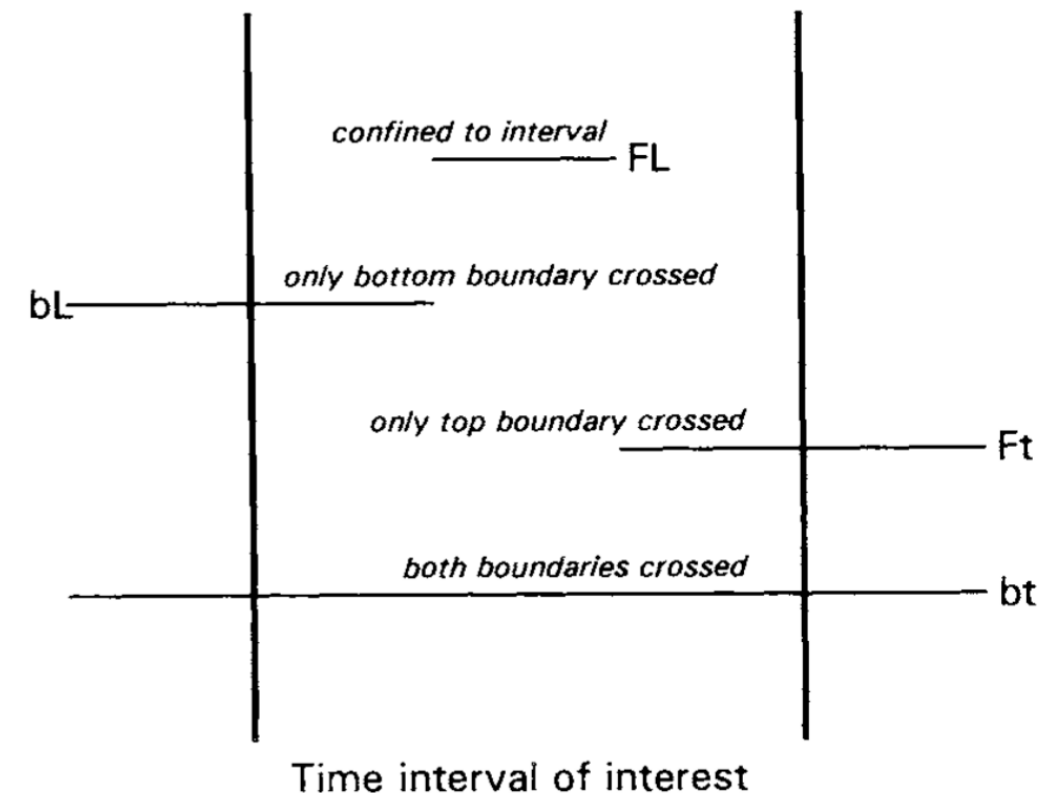
**singletons** – taxa confined to the interval, i.e., taxa whose first and last appearance are both within the interval  $t_i$  ( $FL$ );

**bottom boundary crossers** – taxa that cross the bottom boundary and make their last appearance during the interval ( $bL$ );

**top boundary crossers** – taxa that make their first appearance during the interval and cross the top boundary ( $Ft$ );

**range through taxa** – taxa that range through the entire interval, crossing both the top and bottom boundaries ( $bt$ ).

Four fundamental classes of taxa



# Measuring per-interval rates of speciation and extinction

Use the proportions of first & last appearances during a given interval

speciation

$$\lambda = \left( \frac{N_{FL} + N_{Ft}}{N_{tot}} \right) \times \frac{1}{\Delta t_i}$$

extinction

$$\mu = \left( \frac{N_{FL} + N_{bL}}{N_{tot}} \right) \times \frac{1}{\Delta t_i}$$



# Measuring per-interval rates of speciation and extinction

Use the proportions of first & last appearances during a given interval

speciation

$$\lambda = \left( \frac{\text{number of originations } N_{FL} + N_{Ft}}{N_{tot}} \right) \times \frac{1}{\Delta t_i}$$

total diversity

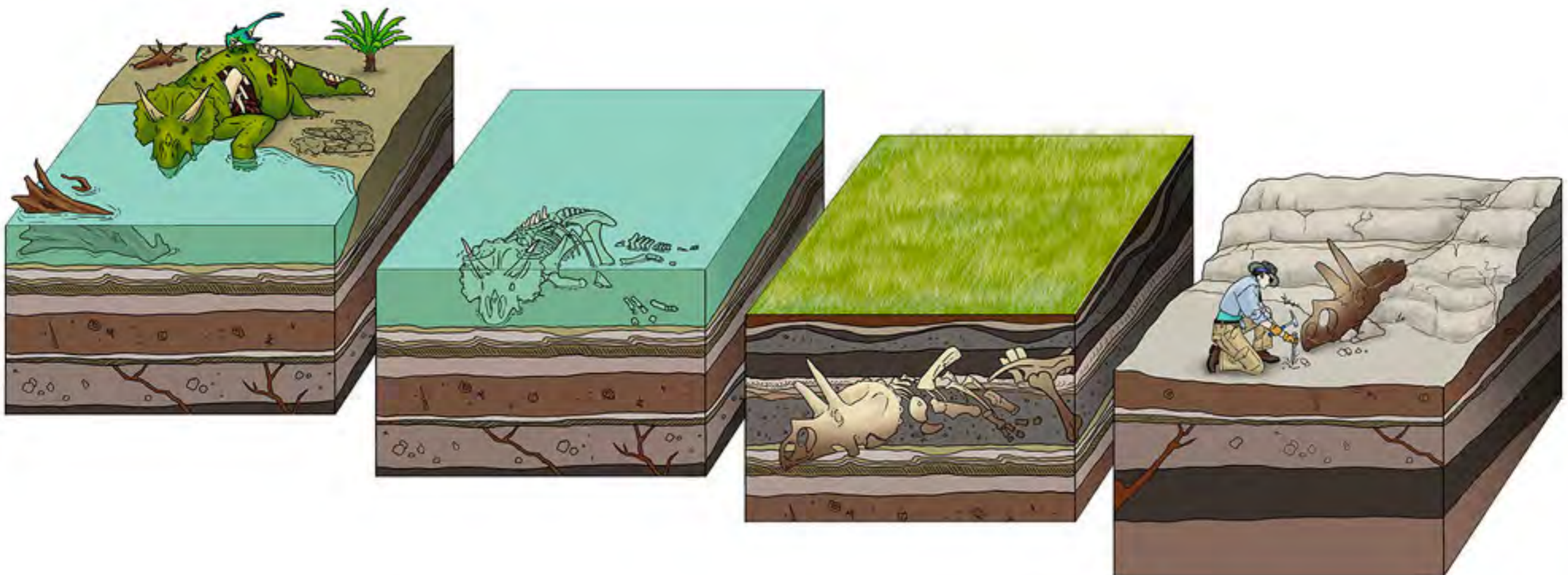
time interval

extinction

$$\mu = \left( \frac{\text{number of extinctions } N_{FL} + N_{bL}}{N_{tot}} \right) \times \frac{1}{\Delta t_i}$$

# Taphonomy

The area of geology that considers the process by which an organism is fossilized, preserved, and recovered





# There are many factors that influence the observed fossil record

**Lagerstätten effect:** because some deposits are extremely species rich, this may be because of high rates of fossil preservation/recovery or high rates of diversification

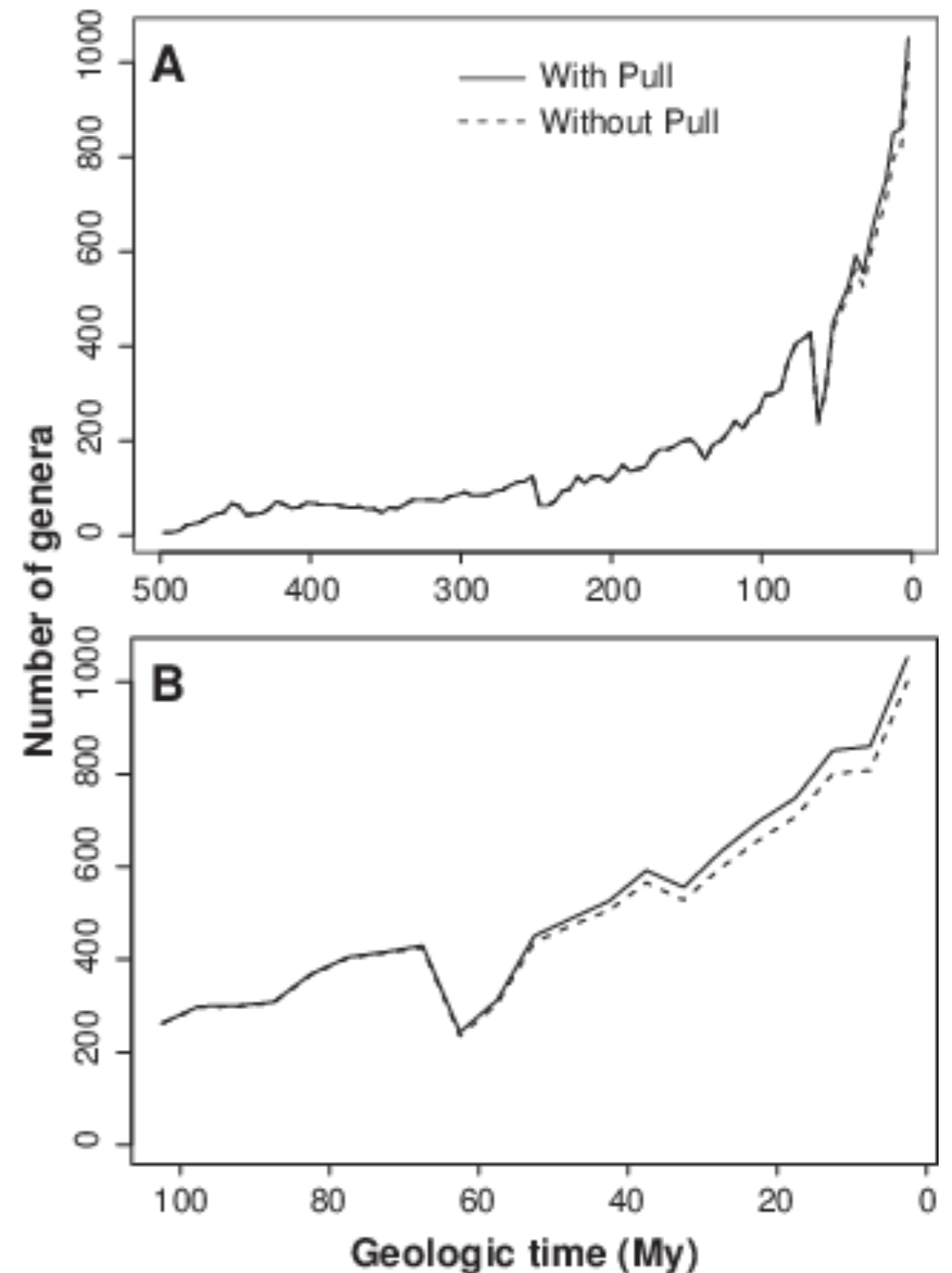
**Signor–Lipps effect:** because the first and last appearance of a fossil taxon is not the first or last representative of the lineage, we cannot precisely "observe" extinction events.



# There are many factors that influence the observed fossil record

**Pull of the recent:** Because younger (including living) species are sampled, this extends the stratigraphic ranges of many taxa to the present through intervals where fossils are not observed. This leads to higher apparent diversity in the Cenozoic (the last 66 My)

Genus-level richness of marine bivalves





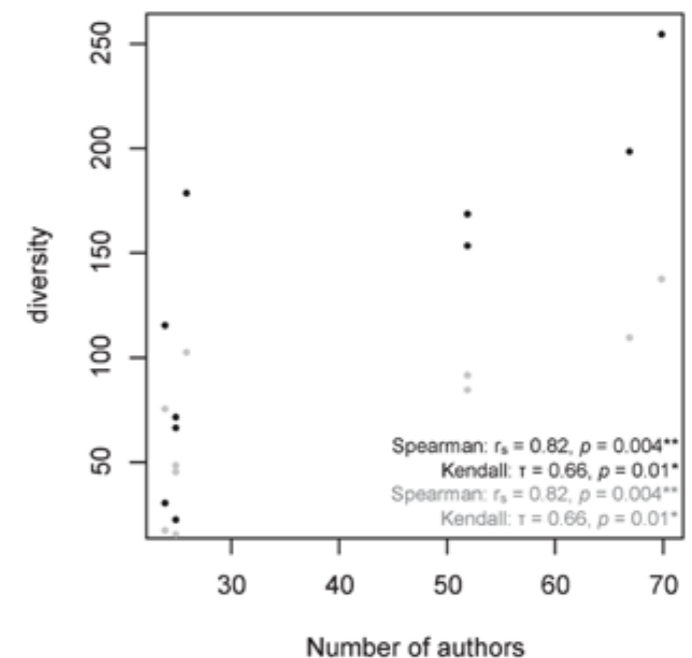
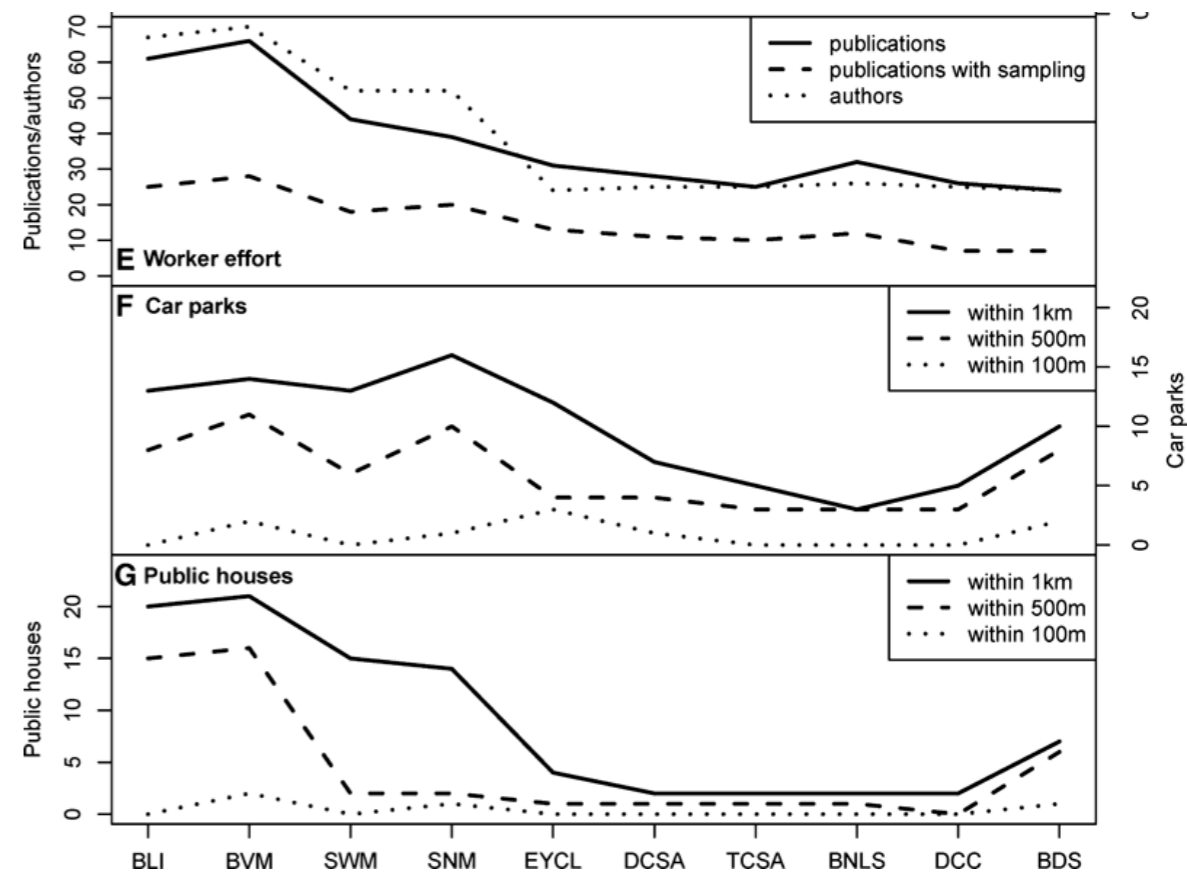
# There are many factors that influence the observed fossil record

Human-related factors play a major role in rates of fossil recovery.

Fossils are more likely to be sampled if they are accessible.

We are more likely to recognize fossils of certain taxa.

We are more likely to look for fossils of taxa we like (e.g., dinosaurs).



# Can estimates of diversification rates account for incomplete sampling?

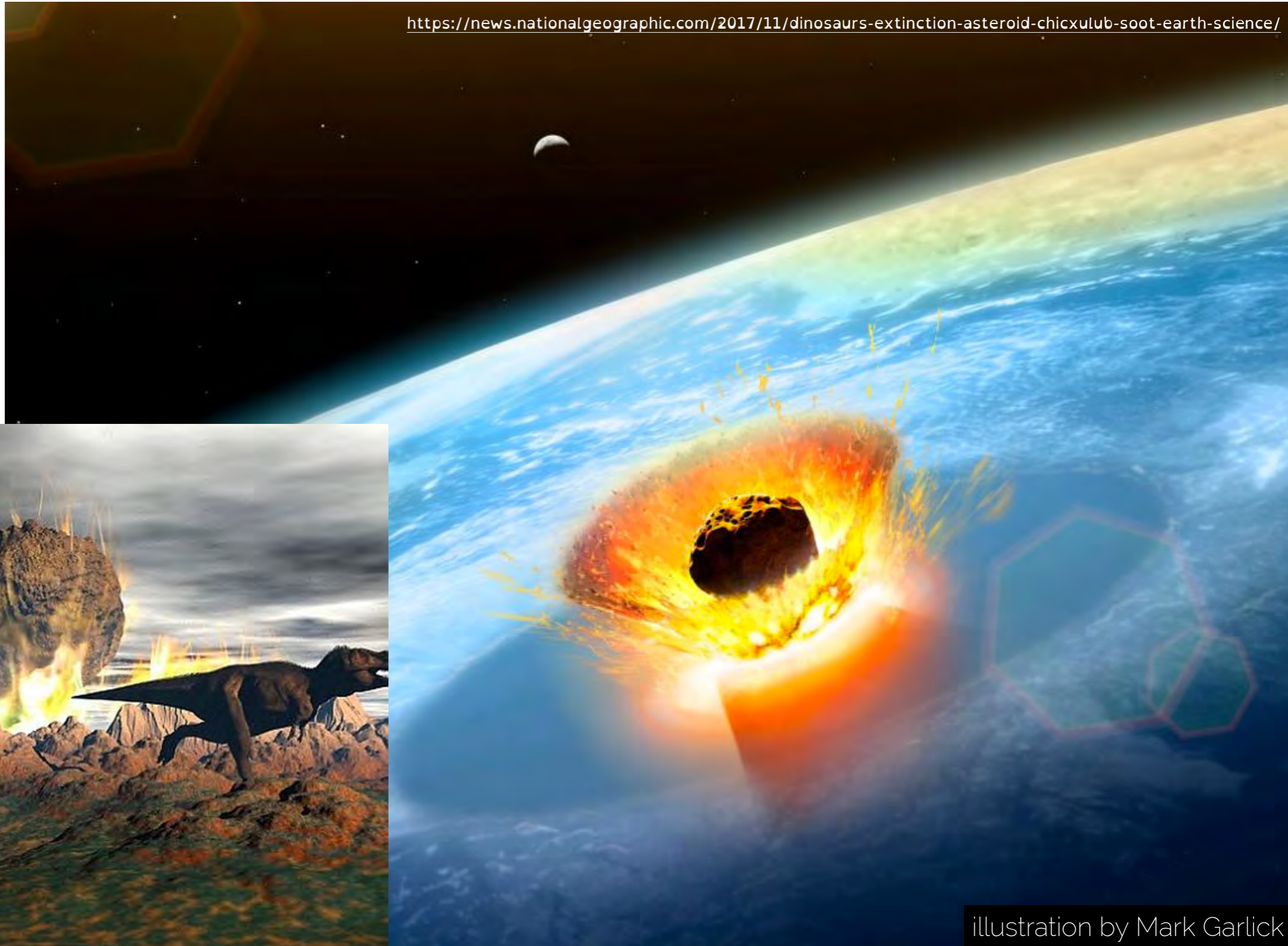
Foote (2000) and other studies (e.g., Alroy 2008) developed approaches that accommodate incomplete sampling by removing singleton taxa (taxa appearing entirely within a single interval), defining additional categories of stratigraphic ranges, and/or applying sampling corrections.

Other methods apply an explicit model of lineage speciation, extinction, and fossil recovery. (We will learn more about these methods later on in the semester.)



# Dinosaur extinction

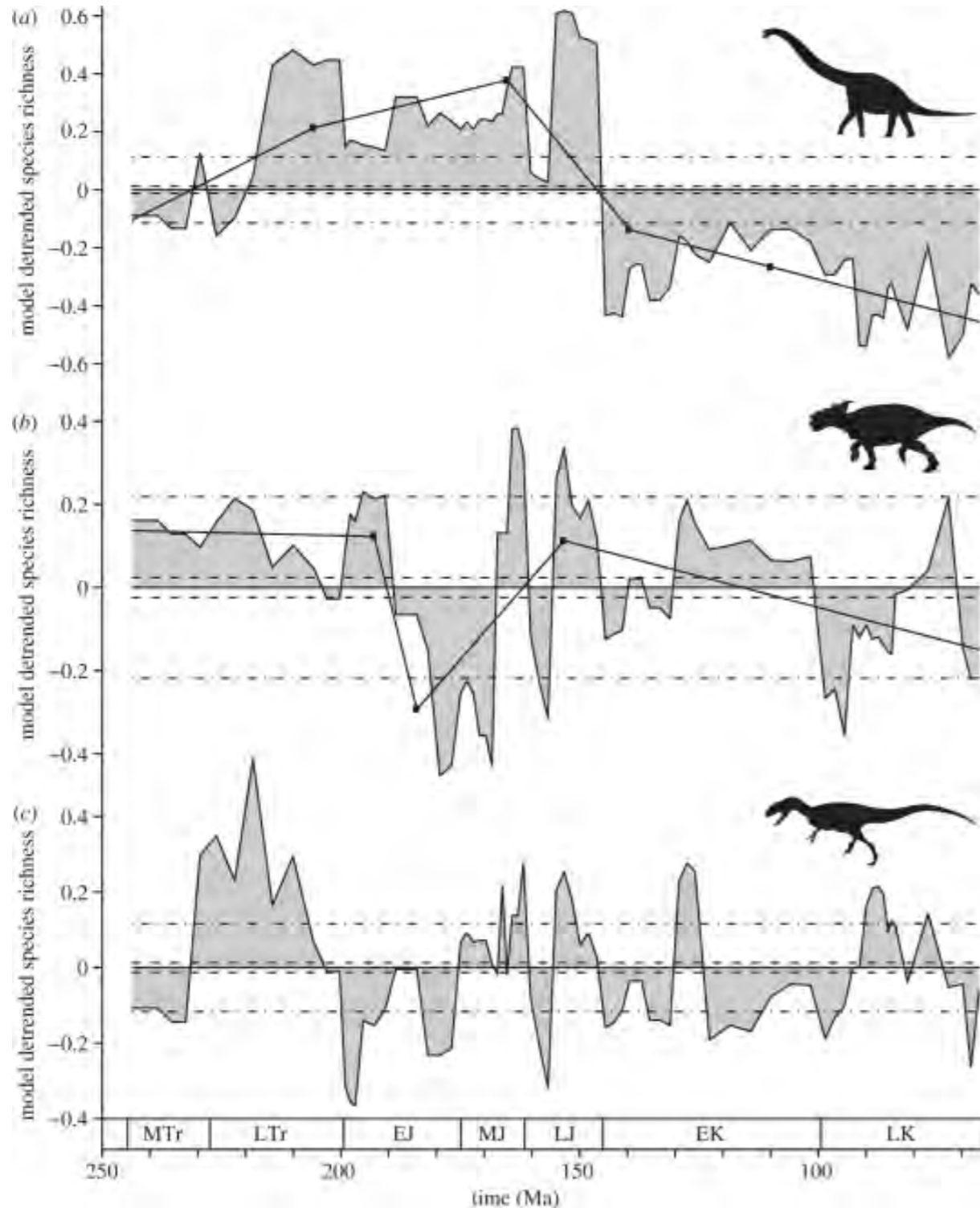
<https://news.nationalgeographic.com/2017/11/dinosaurs-extinction-asteroid-chicxulub-soot-earth-science/>



© Elena Duvernay/Stocktrek Images/Corbis

illustration by Mark Garlick

# Was dinosaur species richness in decline before the impact?

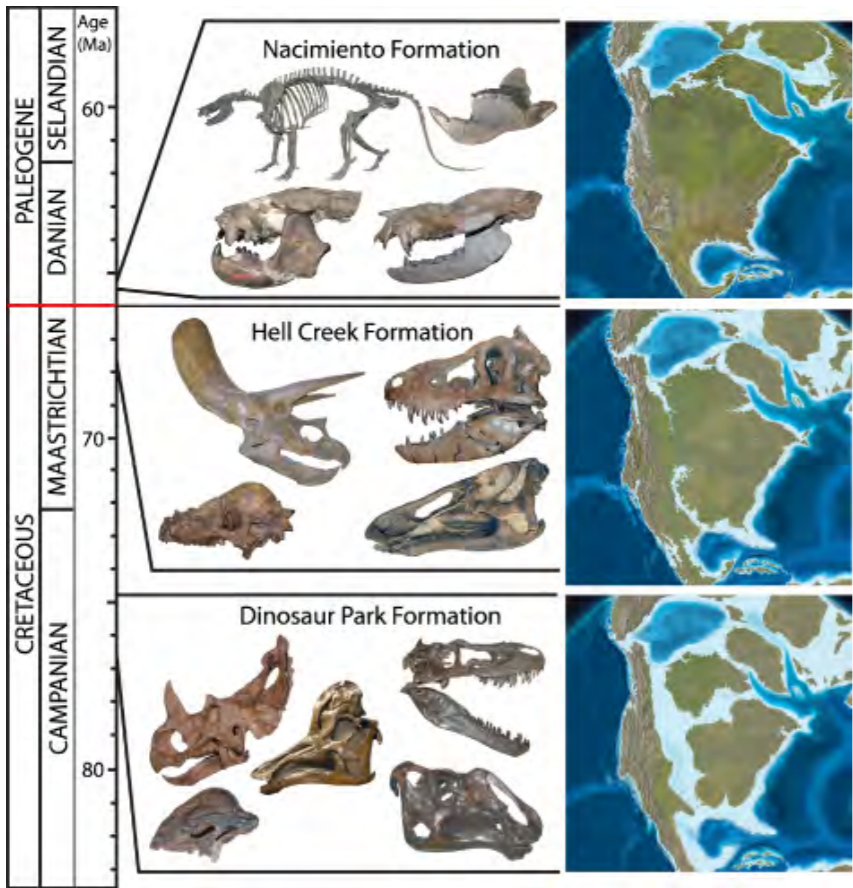


Species richness time series:  
(a) sauropodomorphs  
(b) ornithischians  
(c) theropods

Trends show gradual decline for sauropods and ornithischians, and no significant trend for theropods



# Was dinosaur species richness in decline before the impact?



North American terrestrial fauna composition significantly changed after the end of the Cretaceous.

There are no significant declines in global dinosaur diversity in the Late Cretaceous

